

RECEIVED  
CENTRAL FAX CENTER

003

OCT 18 2005

PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0851-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). <b>FEE TRANSMITTAL</b> <b>For FY 2005</b>		<b>Complete if Known</b> Application Number 10/726,960 Filing Date December 3, 2003 First Named Inventor James P. BECKHAM Examiner Name M. M. Thompson Art Unit 3763 Attorney Docket No. 297912006401	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) 180.00			

<b>METHOD OF PAYMENT</b> (check all that apply)	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____	
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number: 03-1952 Deposit Account Name: Morrison & Foerster LLP	
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)	
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee	
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Credit any overpayments	

<b>FEE CALCULATION</b>							
<b>1. BASIC FILING, SEARCH, AND EXAMINATION FEES</b>							
	<b>FILING FEES</b>		<b>SEARCH FEES</b>		<b>EXAMINATION FEES</b>		
		Small Entity		Small Entity		Small Entity	
<b>Application Type</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fee (\$)</b>	<b>Fees Paid (\$)</b>
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
<b>2. EXCESS CLAIM FEES</b>							
						Small Entity	
						Fee (\$)	Fee (\$)
Each claim over 20 (including Reissues)						50	25
Each independent claim over 3 (including Reissues)						200	100
Multiple dependent claims						360	180
<b>Total Claims</b>		<b>Extra Claims</b>		<b>Fee (\$)</b>		<b>Fee Paid (\$)</b>	
- 20 =		x		=			
<b>Indep. Claims</b>		<b>Extra Claims</b>		<b>Fee (\$)</b>		<b>Fee Paid (\$)</b>	
- 3 =		x		=			
<b>3. APPLICATION SIZE FEE</b>							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
<b>Total Sheets</b>		<b>Extra Sheets</b>		<b>Number of each additional 50 or fraction thereof</b>		<b>Fee (\$)</b>	
- 100 =		/ 50		(round up to a whole number) x		=	
						<b>Fee Paid (\$)</b>	
<b>4. OTHER FEE(S)</b>							
Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement						180.00	

<b>SUBMITTED BY</b>			
Signature		Registration No. (Attorney/Agent)	45,218
Name (Print/Type)	Todd W. Wight	Telephone	(949) 251-7189
		Date	October 18, 2005

RECEIVED  
OIPE/IAP

OCT 19 2005

oc-305030

OCT 18 2005

MORRISON | FOERSTER

19900 MACARTHUR BLVD.  
IRVINE  
CALIFORNIA 92612-2445TELEPHONE: 949.251.7500  
FACSIMILE: 949.251.0900

WWW.MOFO.COM

MORRISON & FOERSTER LLP  
NEW YORK, SAN FRANCISCO,  
LOS ANGELES, PALO ALTO,  
SAN DIEGO, WASHINGTON, D.C.DENVER, NORTHVALE VIRGINIA,  
ORANGE COUNTY, SACRAMENTO,  
WALNUT CREEK, CENTURY CITYTOKYO, LONDON, BEIJING,  
SHANGHAI, HONG KONG,  
SINGAPORE, BRUSSELS

To:

NAME:	FACSIMILE:	TELEPHONE:
USPTO MS Amendment	(571) 273-8300	

FROM: Barbara M. Hayashi

DATE: October 18, 2005

Number of pages with cover page:	8	Our Reference 297912006401
-------------------------------------	---	----------------------------

Preparer of this slip has confirmed that facsimile number given is correct: 11487/BMH2

## CAUTION - CONFIDENTIAL

This facsimile contains confidential information that may also be privileged. Unless you are the addressee (or authorized to receive for the addressee), you may not copy, use, or distribute it. If you have received it in error, please advise Morrison & Foerster LLP immediately by telephone or facsimile and return it promptly by mail.

## Comments:

Application No. 10/726,960

Attached: 1) Transmittal Form, 2) Fee Transmittal, 3) Supplemental IDS - 3 pages, 4)  
PTO/SB/08a/b - 2 pages

RECEIVED  
CENTRAL FAX CENTER

OCT 18 2005

PTO/SB/21 (09-04)

Approved for use through 07/31/2008. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

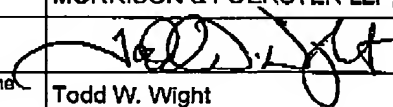
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/726,960
		Filing Date	December 3, 2003
		First Named Inventor	James P. BECKHAM
		Art Unit	3763
		Examiner Name	M. M. Thompson
Total Number of Pages In This Submission	7	Attorney Docket Number	297912006401

## ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement - 3 pages <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO/SB/08a/b - 2 pages
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	MORRISON & FOERSTER LLP, Customer No. 25224		
Signature			
Printed name	Todd W. Wight		
Date	October 18, 2005	Reg. No.	45,218

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. 571-273-8300, on the date shown below.

Dated: October 18, 2005

Signature:  (Barbara Hayashi)

oc-305029

RECEIVED  
CENTRAL FAX CENTER

OCT 18 2005

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. 571-273-8300 on the date shown below.

Dated: October 18, 2005

Signature: 

(Barbara Hayashi)

Patent

Docket No. 297912006401

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
James P. BECKHAM

Serial No.: 10/726,960

Filing Date: December 3, 2003

For: MEDICAL BALLOON

Examiner: M. M. Thompson

Group Art Unit: 3763

SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. The Examiner is requested to make these documents of record.

10/19/2005 CNGUYEN 00000042 031952 10726960

01 FC:1806 180.00 DA

oc-305028

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☒ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \_\_ is enclosed.
  - ☒ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of \_\_ is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

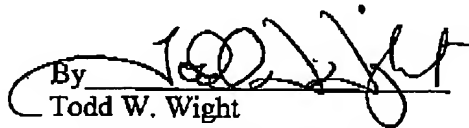
The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

oc-305028

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 297912006401.

Dated: October 18, 2005

Respectfully submitted,

By   
Todd W. Wight

Registration No.: 45,218  
MORRISON & FOERSTER LLP  
19900 MacArthur Boulevard  
Irvine, California 92612-2445  
(949) 251-7189

oc-305028

OCT 18 2005

ALTERNATIVE TO PTO/SB/0826 (07-05)

Substitute for form 1449/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	10726,960
		Filing Date	December 3, 2003
		First Named Inventor	James P. BECKHAM
		Art Unit	3763
		Examiner Name	M. M. Thompson
Sheet 1 of 2	Attorney Docket Number	297912006401	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number-Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-4,572,186	02-25-1986	Gould et al.	
	2	US-4,637,396	01-20-1987	Cook	
	3	US-4,796,629	01-10-1989	Grayzel	
	4	US-5,078,727	01-07-1992	Hannam et al.	
	5	US-5,108,415	04-28-1992	Pinchuk et al.	
	6	US-5,116,360	05-26-1992	Pinchuk et al.	
	7	US-5,171,297	12-15-1992	Barlow et al.	
	8	US-5,264,260	11-23-1993	Saab	
	9	US-5,270,086	12-14-1993	Hamlin	
	10	US-5,295,960	03-22-1994	Aliahmad et al.	
	11	US-5,314,443	05-24-1994	Rudnick	
	12	US-5,338,299	08-16-1994	Barlow	
	13	US-5,344,401	09-06-1994	Radisch et al.	
	14	US-5,358,486	10-25-1994	Saab	
	15	US-5,451,209	09-19-1995	Ainsworth et al.	
	16	US-5,478,320	12-26-1995	Trotta	
	17	US-5,492,532	02-20-1996	Ryan et al.	
	18	US-5,587,125	12-24-1996	Roychowdhury	
	19	US-5,755,690	05-26-1998	Saab	
	20	US-5,772,681	06-30-1998	Leoni	
	21	US-5,788,979	08-04-1998	Alt et al.	
	22	US-5,820,613	10-13-1998	Van Werven-Fransesen et al.	
	23	US-5,928,181	07-27-1999	Coleman et al.	
	24	US-5,972,441	10-26-1999	Campbell et al.	
	25	US-6,007,544	12-28-1999	Kim	
	26	US-6,012,457	01-11-2000	Lesh	
	27	US-6,024,740	02-15-2000	Lesh et al.	
	28	US-6,027,779	02-22-2000	Campbell et al.	
	29	US-6,036,697	03-14-2000	DiCaprio	
	30	US-6,117,101	09-12-2000	Diederich et al.	
	31	US-6,124,007	09-26-2000	Wang et al.	
	32	US-6,127,597	10-03-2000	Beyar et al.	
	33	US-6,156,254	12-05-2000	Andrews et al.	
	34	US-6,164,283	12-26-2000	Lesh	
	35	US-6,183,492	02-06-2001	Hart et al.	
	36	US-6,187,013	02-13-2001	Stolze et al.	
	37	US-6,234,995	05-22-2001	Peacock, III	
	38	US-6,245,064	06-12-2001	Lesh et al.	
	39	US-6,254,599	07-03-2001	Lesh et al.	
	40	US-6,263,236	07-17-2001	Kasinkas et al.	
	41	US-6,270,902	08-07-2001	Tedeschi et al.	
	42	US-6,290,485	09-18-2001	Wang	
	43	US-6,305,378	10-23-2001	Lesh	
	44	US-6,315,751	11-13-2001	Cosgrove et al.	
	45	US-6,328,925	12-11-2001	Wang et al.	

Examiner Signature	Date Considered
oc-305027	

ALTERNATIVE TO PTO/5B/08 a/b (07-05)

Substitute for form 1443/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			<b>Complete if Known</b>		
			Application Number	10/726,960	
			Filing Date	December 3, 2003	
			First Named Inventor	James P. BECKHAM	
			Art Unit	3763	
			Examiner Name	M. M. Thompson	
Sheet	2	of	2	Attorney Docket Number	297912006401

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>2</sup>	Number <sup>3</sup> -Kind Code <sup>4</sup> (if known)				

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

oc-305027